



# Viocity

## New Investor Checklist

Securities regulations require Viocity to collect certain information on an annual basis. This checklist is provided to ensure that all necessary documentation is included with the information forms. Your information will be kept strictly confidential and will only be shared as required by law.

### Corporate Investors:

- If your company is a Sole Proprietorship or Partnership owned 100% by you and or your spouse/ member of the same household, then please complete the Know Your Client Form (KYC) with your individual information. As well be sure to include your business number and short-form articles of incorporation when submitting documents.
- For other types of corporations we may require additional information including having our lawyers review your corporate minute book. Please contact us at [institutional@viocity.com](mailto:institutional@viocity.com) to discuss further.

### Individual Investors:

- You may invest as either an individual or jointly as two individuals provided that you live in the same household as each other.
- To invest inside a Registered account (RRSP, RESP, RIF, or TFSA) please also complete the Registered Plan Forms.

### Financial Asset versus Net Asset defined:

#### Financial Assets include

- cash
- securities, or
- a contract of insurance, deposit or an evidence of a deposit that is not a security for the purposes of securities legislation

The value of an investor's personal residence or other real estate is not included in the calculation of financial assets.

**Net Assets include** ALL of the investor's assets minus ALL liabilities. This can include the investor's personal residence and other real estate.

### Before Investing please ensure the following have been received and read:

- The Fund Facts
- The Declaration of Trust
- The Offering Memorandum

### To Invest the following documents are required:

- A Subscription Agreement (including applicable schedules)
- Know Your Client Form
- Photo or PDF copy of Driver's License, Passport or Citizenship Card
- Risk Acknowledgement Form
- Short-Form Articles of Incorporation (only if investing through a corporation)
- Registered Plan Forms (only if investing in a Registered Plan, RRSP, RESP, RIF or TFSA)